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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Dutta**

Serial No.: **09/383,742**

Filed: **August 26, 1999**

**For: System and Method For
Incorporating Semantic
Characteristics Into The Format-
Driven Syntactic Document
Transcoding Framework**

§ Group Art Unit: **2771**

§

§ Examiner: **Not Assigned**

§

§ Attorney Docket No.: **AT9-99-310**

§

<p><u>Certificate of Mailing Under 37 C.F.R. § 1.8(a)</u></p> <p>I hereby certify this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on <u>10/5/99</u></p> <p>By: <u>[Signature]</u> Jennifer Wright</p>

TRANSMITTAL DOCUMENT

Assistant Commissioner of Patents
Washington, D.C. 20231

Sir:

ENCLOSED HEREWITH:

- Signed Assignment (1 page);
- Signed Declaration and Power of Attorney (3 pages);
- Notice to File Missing Parts (1 page);
- Missing parts fee in the amount of \$130.00; and
- Our return postcard.

No additional fees are believed to be necessary. If, however, any additional fees are required, I authorize the Commissioner to charge these fees which may be required to Deposit Account No. 50-0392. No extension of time is believed to be necessary. If, however, an extension of time is required, I authorize the Commissioner to charge these fees which may be required to Deposit Account No. 50-0392.

Respectfully submitted,

[Signature]
Duke W. Yee

Registration No. 34,285

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ATTORNEY FOR APPLICANT



UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY-DOCKET NO./TITLE
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09/383,742 08/26/99 DUTTA

R AT9-99-310

0262/0910

DUKE W YEE
CARSTENS YEE CAHOON LLP
P O BOX 802334
DALLAS TX 75380

NOT ASSIGNED

2771

DATE MAILED:

09/10/99

NOTICE TO FILE MISSING PARTS OF APPLICATION

Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☒ non-small entity is \$ 130.00

☐ 1. The statutory basic filing fee is:

- ☐ missing.
☐ insufficient.

Applicant must submit \$ _____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ _____ for _____ total claims over 20.

\$ _____ for _____ independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☐ 3. The oath or declaration:

- ☐ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☒ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

JEFFREY EDWARD RODRIGUEZ

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

HARRISON T-6

Customer Service Center
Initial Patent Examination Division (703) 308-1202

10/13/1999 CCETIN 00008050 09383742 130.00 OP 01 FC:105